



SECURITIES & INVESTMENT INSTITUTE QUALIFICATION PRICES from 1st Jul 2008

		UK Price	International Price (includes student membership)	# Examination resit fee for international candidates
Qualification Registration Fee	The registration fee is a single payment for every candidate taking an examination. The fee includes Student membership which is provided for a full 12 months from exam booking date.	£40	£40	
		UK Price	International price (*indicates PDF workbook included in price)	# Examination resit fee for international candidates
Completion of unit to obtain qualification	Foundation Programmes			
Introduction to Investment Package (Concession Price UK only)	Introduction to Securities and Investment	£100		
Introduction to Investment	Introduction to Securities and Investment	£85	*£115	£85
IT in Investment Operations Award	IT in Investment Operations	£85	*£115	£85
Islamic Finance Qualification	Islamic Finance Qualification	£150	£150 (The workbook is separate and costs £75 for a hardback copy + post and packaging)	£150
UK wholesale pathway: Regulatory unit + Technical unit to obtain qualification	SII Certificate Programme			
UK retail pathway: Financial Regulation + Investment and Risk + Securities /Securities and Financial Derivatives to obtain qualification				
Regulatory units (exemption may be available from this unit)	FSA Financial Regulation	£125	*£140	£125
	Principles of Financial Regulation	£125	*£140	£125
Technical units	Derivatives	£150	*£165	£150
	Financial Derivatives	£150	*£135	£150
	Investment Management	£150	*£220	£150
(Exemption may be available from this unit)	Investment and Risk	£120	*£150	£120
	Securities	£150	*£165	£150
	Securities & Financial Derivatives	£180	*£225	£180
#International candidates will pay the standard resit examination price provided that their workbook covers the syllabus for the examination for which they are studying. Otherwise they will need to purchase a new exam and workbook at the standard international price.				
*Where the Securities & Investment Institute publishes an electronic workbook for a qualification, this is included in the international exam price paid by candidates registering for examinations outside the UK. Candidates will receive the workbook as a PDF that will be sent to them by email when booking and paying for the examination for which the workbook is provided.				



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Completion of both units (unless exemption awarded) to obtain qualification	Certificate in Corporate Finance			
	Corporate Finance Regulation	£125	£140	£125
	Corporate Finance Technical Foundations	£150	£160	£150
Completion of 3 units (unless exemption awarded) to obtain qualification	IAQ Programme			
	Asset Servicing	£85	*£115	£85
	Collective Investment Scheme Administration	£85	*£115	£85
	CREST Settlement	£85	*£115	£85
	Exchange Traded Derivatives Administration	£85	*£115	£85
	FSA Financial Regulation	£125	*£140	£125
	Global Securities Operation	£85	*£115	£85
	Introduction to Securities & Investment	£85	*£115	£85
	ISA Administration	£85	*£115	£85
	ISA & CTF Administration	£85	*£115	£85
	IT in Investment Operations	£85	*£115	£85
	Operational Risk	£85	*£115	£85
	OTC Derivatives Administration	£85	*£115	£85
	Principles of Financial Regulation	£125	*£140	£125
	Private Client Administration	£85	*£115	£85
Completion of 3 units (unless exemption awarded) to obtain qualification	SII Masters Programme (Wealth Management) Live from June 2008			
	Financial Markets	£200		
	Portfolio Construction Theory	£200		
	Applied Wealth Management	£200		
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Competition of 3 units (unless exemption awarded) to obtain Diploma		SII Diploma		
	Bond & Fixed Interest Markets	£160	**£250	£160
	Corporate Finance	£160	**£250	£160
	Financial Derivatives	£160	**£250	£160
	Fund Management	£160	**£250	£160
	Global Operations Management	£160	**£250	£160
	Interpretation of Financial Statements	£160	**£250	£160
	Investment Analysis	£160	**£250	£160
	Private Client Investment and Advice	£160	**£250	£160
	Regulation & Compliance	£160	**£250	£160
	Management Studies This includes the workbook, tuition and examination fees	£995	£995	
Completion of all units (unless exemption awarded) to obtain qualification		Diploma in Investment Operations		
	Operational Risk /Global Securities Operations /Collective Investment Schemes Administration	Each unit £85	*£115	Each unit £85
	Advanced Operational Risk /Advanced Global Securities Operations /Advanced Investment Schemes Administration	Each unit £150	*£200	Each unit £150
	Global Operations Management	£160	**£250	£160
Completion of all units (unless exemption awarded) to obtain qualification		Diploma in Investment Compliance		
	Introduction to Securities & Investment	£85	*£115	£85
	SII Regulatory Paper	£125	*£140	£125
	Regulation & Compliance	£160	**£250	£160

**Hard copy workbooks are included in the international examination price of all SII Diploma units for candidates registering for examinations outside the UK. Workbooks will be sent to candidates on registration and payment with SII. For details of registering for the SII Diploma outside the UK, please see the 'SII Diploma and Advanced Certificate booking procedures and closing dates' link on www.sii-global.org.

#International candidates will pay the standard resit examination price provided that their workbook covers the syllabus for the examination for which they are studying. Otherwise they will need to purchase a new exam and workbook at the standard international price.



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**Where the Securities & Investment Institute publishes an electronic workbook for a qualification, this is included in the international exam price paid by candidates registering for examinations outside the UK. Candidates will receive the workbook as a PDF that will be sent to them by email when booking and paying for the examination for which the workbook is provided.*

Advanced Certificate in Operational Risk	Operational Risk	£85	*£115	£85
	Advanced Operational Risk	£150	*£200	£150
Advanced Certificate in Global Securities Operations	Global Securities Operations	£85	*£115	£85
	Advanced Global Securities Operations	£150	*£200	£150
Advanced Certificate in Investment Schemes Administration	Collective Investment Schemes Administration	£85	*£115	£85
	Advanced Investment Schemes Administration	£150	*£200	£150
	International Programmes			
	International Introduction to Investment Award			
	International Introduction to Securities and Investment	£85	*£115	£85
Completion of 3 units (unless exemption awarded) to obtain qualification	International Investment Administration Qualification			
	International Introduction to Securities and	£85	*£115	£85
	Local regulatory paper			
	One technical unit from: Asset Servicing /Exchange-traded Derivatives Administration /Global Securities Operations /Operational Risk /OTC Derivatives Administration	£85	*£115	£85
Completion of both units (unless exemption awarded from regulatory unit) to obtain qualification	International Certificate in Investment Management			
	Local regulatory paper			
	International Investment Management	£150	*£220	£150

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Completion of unit to obtain qualification	International Certificate in Financial Advice	UK Price	International Price	# Examination resit fee for international candidates
	ICFA (English)	£150	*£150	£150
	ICFA (Chinese)	£150	*£150	£150
	ICFA (Spanish)	£150	*£150	£150
<i>Qualification awarded when this unit is passed in addition to one or more technical units</i>	Dubai - DIFC Rules and Regulations			
	DIFC Rules and Regulations	£150	£165	£150

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Workbooks

Workbooks	Price
IAQ	
Introduction to Securities & Investment	£75
FSA Financial Regulation	£75
Asset Servicing- Corporate Actions	£75
Collective Investment Schemes Administration	£75
CREST Settlement	£75
Exchange-Traded Derivatives Administration	£75
Global Securities Operations	£75
ISA & PEP Administration	£75
Operational Risk	£75
OTC Derivatives Administration	£75
Principles of Financial Regulations	£75
Private Client Administration	£75

Introduction to Investment Award	
Introduction to Investment	£75

Certificate Programme	
Unit 1- FSA Financial Regulation	£75
Unit 2- Securities	£75
Unit 3- Derivatives	£75
Unit 4- Securities & Financial Derivatives	£75
Unit 5- Investment Management	£75
Unit 6- Principles of Financial Regulations	£75
Financial Derivatives Module	£75

Advanced Certificates Programme	
Advanced Operational Risk	£25
Advanced Global Securities Operations	£75
Advanced Investment Schemes Administration	£75

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Workbooks (cont.)

New Publications	
Islamic Finance Qualification	£75
International Certificate in Financial Advice	£75

E-Learning Tools

	Members	Discounted price Non member incl. workbook	
Professional Refresher	Free	£80	N/A
E-IAQ	£35	£35	£100
E-Intro	£35	£35	£100

Revision Express

Number of Users	Cost per User
1 - 10	£35
11 - 49	£30
50 - 99	£25
100 - 499	£20
500+	£15

Continuing Professional Development Events

Conferences / Workshops	Price for FSI	Price for MSI	Price for ASI	Price for Non-Members
Arrow II	£206.50	£206.50	£236	£295
Anti-Money Laundering Workshop	£346.50	£346.50	£396	£495
Treating Customers Fairly	£455	£455	£520	£649
Sixth Annual Compliance Professionals Summit	£664.30	£664.30	£759.20	£949
The Securities & Investment Institutes Inaugural Private	£629	£629	£719	£849
Operational Risk	£454.30	£454.30	£519.20	£649

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Training Courses	Price for FSI	Price for MSI	Price for ASI	Price for Non-Members
Introduction to Financial Markets	£321.75	£346.50	£396	£495
Designing and Implementing an Effective T&C Scheme	£321.75	£346.50	£396	£495
Treating Customers Fairly	£321.75	£346.50	£396	£495
Introduction to Islamic Finance	£321.75	£346.50	£396	£495
Introduction to Bonds	£321.75	£346.50	£396	£495
Advanced Clearing & Settlement Operations	£321.75	£346.50	£396	£495
Understanding MiFID (The Markets in Financial Instrum	£321.75	£346.50	£396	£495
Introduction to Risk Management	£321.75	£346.50	£396	£495
Operational Risk Management - Taking it to the Next Le	£321.75	£346.50	£396	£495
Understanding Regulation & Compliance	£516.75	£556.50	£636	£795
Understanding Bond Markets	£516.75	£556.50	£636	£795
Understanding Swaps	£516.75	£556.50	£636	£795
Understanding Regulation & Compliance	£516.75	£556.50	£636	£795

Membership Subscription Rates

Type of Membership	UK £	Intl £
FSI	£155	£123.75
MSI	£140	£112.50
ASI	£100	£78.75
Affiliate	£70	£60.00
Student	£40	N/A

Corporate Membership

No of Staff at Firm	Fee
200 Members + of SII	Free
1 - 200	£1,000
200+	£5,000

SII CPD Logging Scheme licence

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(available to non members at Coporate members firms only)

Number of Users	Cost per User
1 - 10	£80
11 - 49	£75
50 - 99	£70
100 - 499	£50
500+	£25

Professional Refresher

Number of Users	Cost per User
1 - 100	£30 per Annum
101 - 199	£25 per Annum
200 - 499	£15 per Annum
500+	£10 per Annum

Discounts

Number of Bookings	Discount
Training Courses	
5 or More	10%
10 or More	15%

Workbooks	
25 or More	2.50%
50 or More	5%
75 or More	7.50%

Securities & Investment Institute Review	
Annual subscription (10 issues)	£120