

External Specialists Personal Details

Please complete this form using a pen with black or blue ink, print in capitals using the spaces provided

1. Personal details

Title _____

First names(s) _____

Surname _____

Private address _____

Postcode _____

Tel. _____

Fax. _____

Email _____

Date of birth _____

Nationality _____

2. Work details

Firm name _____

Job title _____

Department _____

Firm address _____

Postcode _____

Direct tel. _____

Direct fax. _____

Email _____

3. Membership status

Are you a member of the CISI? Yes No

If yes, grade: FCSI, MCSI, ACSI, Affiliate, Student _____ Membership number _____

4. Professional Qualifications

Qualifications _____

Designatory letters _____

5. Contact information

Correspondence to be delivered to: Work Home

Preferred communication method: Mail Email Either

6. Type of firm Please tick one box indicating primary nature of business:

Accountants Asset Management Execution Only Fund Management

Investment Banking Private Banking Solicitors Stockbroking

IFA Life Company Other - Please specify: _____

Area of work Please tick box(es):

Institutional Sales	<input type="checkbox"/> Market Maker	<input type="checkbox"/> Finance	Training
<input type="checkbox"/> Equities	<input type="checkbox"/> Corporate Finance	<input type="checkbox"/> IT	<input type="checkbox"/> Training, non CISI
<input type="checkbox"/> Bonds/Fixed Interest	<input type="checkbox"/> Institutional Fund Manager	<input type="checkbox"/> Analyst	<input type="checkbox"/> If you train for CISI
<input type="checkbox"/> Gilts	Private Client Advice	<input type="checkbox"/> Operations	examinations, please specify:
<input type="checkbox"/> Derivatives	<input type="checkbox"/> Advisory	<input type="checkbox"/> Other: _____	_____
<input type="checkbox"/> Commodities	<input type="checkbox"/> Discretionary	_____	_____
<input type="checkbox"/> Swaps	<input type="checkbox"/> Legal and Compliance	_____	_____

Continued overleaf...

Please post or fax this form to:

Iain Worman, Chartered Institute for Securities & Investment, 8 Eastcheap, London EC3M 1AE
 Telephone: +44 (0)20 7645 0609 Facsimile: +44 (0)20 7645 0601 CISI.ORG

7. Areas of Specialism Please use the tick boxes to indicate which subject(s) you are willing to help us with:

Certificate Exams

- FSA Financial Regulation
- Investment Management
- Principles of Financial Regulation
- Commodity Derivatives
- Derivatives
- Securities
- Investment & Risk
- Risk in Financial Services
- Corporate Finance, Technical
- Corporate Finance, Regulatory
- Financial Derivatives

Investment Administration Qualification

- Introduction to Securities & Investment
- FSA Financial Regulation
- Asset Servicing
- Collective Investment Schemes Administration
- CREST Settlement
- Exchange Traded Derivatives Administration
- Global Securities Operations
- ISA & Child Trust Funds Administration
- IT in Investment Operations
- Operational Risk
- OTC Derivatives Administration
- Principles of Financial Regulation
- Private Client Administration

Advanced Certificates

- Advanced Investment Schemes Administration
- Advanced Global Securities Operations
- Advanced Operational Risk

CISI Diploma

- Investment Analysis
- Interpretation of Financial Statements
- Regulation and Compliance
- Global Operations Management
- Private Client Investment Advice and Management
- Fund Management
- Financial Derivatives
- Bond and Fixed Interest Markets

CISIM (Wealth Management)

- Financial Markets
- Applied Wealth Management
- Portfolio Construction Theory

International Qualifications

- DIFC Rules & Regulations
- Islamic Finance Qualification
- UAE Financial Rules & Regulations
- International Certificate in Wealth Management
- Qatar Financial Rules & Regulations
- Oman Financial Rules & Regulations

8. Which area are you interested in working in?

- item writing
- editing
- workbook reviewing
- subject panels
- workbook author

9. Work History

Please send us an up to date version of your CV or use the space below to provide details of relevant employment and work history:
