

Examination Dates 2008

Securities & Investment Institute Level 3 Certificates in Investments (formerly Certificates)	
Unit 01 - FSA Financial Regulation	Computer Based Testing (CBT)
Unit 01 - Corporate Finance Regulation	Computer Based Testing (CBT)
Unit 02 - Securities	Computer Based Testing (CBT)
Unit 02 - Corporate Finance Technical Foundations	Computer Based Testing (CBT)
Unit 03 - Derivatives	Computer Based Testing (CBT)
Unit 04 - Securities & Financial Derivatives	Computer Based Testing (CBT)
Unit 05 - Investment Management	Computer Based Testing (CBT)
Unit 06 - Principles of Financial Regulation	Computer Based Testing (CBT)
Unit 07 - Financial Derivatives	Computer Based Testing (CBT)
Unit 08 - Investment & Risk	Computer Based Testing (CBT)
International Certificate in Investment Management	Computer Based Testing (CBT)
DIFC Rules and Regulations	Computer Based Testing (CBT)

Securities & Investment Institute Level 3 Certificate in Investment Administration (formerly IAQ)	
Unit 01 Introduction to Securities & Investment *	Computer Based Testing (CBT)
Unit 02 FSA Financial Regulation	Computer Based Testing (CBT)
Unit 03 Asset Servicing	Computer Based Testing (CBT)
Unit 04 Crest Settlement	Computer Based Testing (CBT)
Unit 05 Exchange Traded Derivatives Administration	Computer Based Testing (CBT)
Unit 06 - Global Securities Operations	Computer Based Testing (CBT)
Unit 07 Principles of Financial Regulation	Computer Based Testing (CBT)
Unit 08 ISA Administration	Computer Based Testing (CBT)
Unit 09 - Collective Investment Scheme Administration	Computer Based Testing (CBT)
Unit 10 Operational Risk	Computer Based Testing (CBT)
Unit 11 OTC Derivatives Administration	Computer Based Testing (CBT)
Unit 12 Private Client Administration	Computer Based Testing (CBT)
Unit 13 IT in Investment Operations	Computer Based Testing (CBT)
Unit 14 ISA & Child Trust Fund Administration	Computer Based Testing (CBT)
Introduction to Securities & Investment (International)**	Computer Based Testing (CBT) Also available in Arabic from 25th August 2008

* This Unit is also accredited as a stand alone qualification - Securities & Investment Level 3 Award Introduction to Investment

** This Unit is also a stand alone qualification - Securities & Investment Level 3 Award International Introduction to Investment

International Certificate in Financial Advice	
English version	Computer Based Testing (CBT)
Chinese version	Computer Based Testing (CBT)
Spanish version	Computer Based Testing (CBT)

Islamic Finance Qualification	
Islamic Finance Qualification	Computer Based Testing (CBT)

CBT examinations are subject to availability, within the opening hours of the test centre, to secure a preferred date it is recommended booking as far in advance as possible. CBT venue opening hours can be found at www.sii.org.uk

CBT roll-out dates and venue opening hours are subject to change. Please ensure you have an up-to-date version prior to booking an examination.

Written Examinations

Securities & Investment Institute Diploma	January sitting	Summer Sitting	Winter Sitting
Bond & Fixed Interest Markets	n/a	26th June	4th December
Financial Derivatives	n/a	26th June	4th December
Fund Management	n/a	27th June	5th December
Global Operations Management	n/a	27th June	5th December
Interpretation of Financial Statements	n/a	25th June	3rd December
Investment Analysis	n/a	24th June	2nd December
Management Studies (on-line examination)	11th January 2008	11th July	TBC
Private Client Investment Advice & Management	n/a	25th June	3rd December
Regulation & Compliance	n/a	24th June	2nd December

Securities & Investment Institute Masters	January sitting	Summer Sitting	Winter Sitting
Applied Wealth Management	n/a	27th June	5th December
Financial Markets	n/a	27th June	3rd December
Portfolio Construction Theory	n/a	25th June	5th December

Advanced Certificate in Operational Risk			
Advanced Operational Risk	n/a	25th June	3rd December

Advanced Certificate in Investment Schemes Administration			
Advanced Investment Schemes Administration	n/a	26th June	4th December

Advanced Certificate in Global Securities Operations			
Advanced Global Securities Operations	n/a	26th June	4th December

Please visit our website for examination closing dates: www.sii.org.uk

Examination dates are subject to change. Please ensure you have an up-to-date version prior to booking an examination.

For bookings please contact the Client Services Team - Tel: 00 44 (0)20 7645 0680 or Email: clientservices@sii.org.uk