SECURITIES & INVESTMENT INSTITUTE

ADVANCED OPERATIONAL RISK EXAMINATION MARKS ANALYSIS JUNE 2009 EXAMINATION

The panel decision on grades awarded is final and it is not the policy of the Institute to disclose marks achieved. Although no correspondence will be entered into with candidates regarding their examination results, a marks analysis is available for December 2008 examination candidates graded marginal fail and fail. This will be a brief overview highlighting main areas of weakness and does not answer the examination questions nor comment on script contents. It is a review of the marks achieved identifying where low marks were awarded and should be read in conjunction with the Chief Examiners Report. This service is only available to candidates.

The charge for this service is £25.00 per script. Requests must be in writing to the Advanced Certificate Manager giving full name and candidate number by 2 Oct 2009.

REQUESTS RECEIVED AFTER 2 OCT 2009 WILL NOT BE ACCEPTED

This service will be provided under the following conditions:

- This will NOT constitute a remarking exercise
- This will service is not retrospective (i.e. not applicable to any exams before June 2009)
- The service is available only to candidates who were awarded marginal fail or fail
- Actual marks gained overall or marks per question will not be disclosed
- Candidates' scripts will not be returned to them under any circumstances
- Up to four weeks should be allowed for the return of the analysis upon request received by the Institute
- The Institute will not enter into further correspondence on grade achieved.

Candidates requesting a review are deemed to have accepted the conditions stated above.

SECURITIES & INVESTMENT INSTITUTE

SECURITIES & INVESTMENT INSTITUTE ADVANCED CERTIFICATE PERFORMANCE REPORT ORDER FORM

Name:

Candidate no: Training provider:

Address:

Date of exam: Tel:

Signature: ..... Date:

Payment by Cheque:
Cheques should be made payable to 'Securities & Investment Institute' and crossed 'Account Payee only'.

Payment by Card
The Securities & Investment Institute accepts payment by certain types of payment card—**American Express, Delta, Eurocard, MasterCard, Switch** and **Visa.** If you would prefer to make your payment in this way, please complete the information requested below, then fill out your card address details in the panel below. Forms may then be faxed or posted to the Institute.
If submitting the original form after having sent it by fax, please tick this box to ensure you are not charged TWICE: ☐

I wish to pay by *American Express/Delta/Eurocard/MasterCard/Switch/Visa *Delete as applicable

I authorise you to debit my account with the amount of **£.....**

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Cardholder's name: *(if different to above)*

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The FSA requires financial practitioners to remain up to date and updated information regarding your qualification and continuing professional development will be sent to you. Please tick the box if you do not want to receive this information. ☐

PLEASE SEND/FAX THIS FORM TO 020 7645 0601

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