

Student membership Application Form

All questions to be answered and printed in ink and in block capitals.

(Details of admission criteria can be found on our website www.sii.org.uk)

1. Personal details

Title _____
 First name(s) _____
 Surname _____
 Private address _____
 _____ Postcode _____
 Tel. _____ Fax. _____
 Email _____
 Date of birth _____
 Nationality _____
 Former name(s) if any _____
 Date of change _____

2. Work details (if currently employed)

Firm name _____
 Job title _____
 Department _____
 Firm address _____

 _____ Postcode _____
 Direct tel. _____
 Direct fax. _____
 Email _____

3. SII Qualification being studied (if applicable) *

4. Non SII Qualification being studied (if applicable)

Name of Qualification: _____ Place of study: _____
 Date Started: _____ Date due to complete: _____

5. Contact information (tick one)

Correspondence to be delivered to: Work Home
 Preferred communication method: Mail Email Either
 Address to appear in Members directory: Work Home None
 Who will pay annual subscription? Firm Self
 If firm, please provide the following information:
 Dept: _____ Cost centre: _____
 Contact name: _____
 Address (if different from above): _____

6. Area of work Please tick box(es) if applicable:

Institutional Sales

Equities
 Bonds/Fixed Interest
 Gilts
 Derivatives
 Commodities
 Swaps

Market Maker
 Corporate Finance
 Institutional Fund Manager
Private Client Advice
 Advisory
 Discretionary

Legal and Compliance
 Finance
 IT
 Analyst
 Operations
 Training

Other

Please specify: _____

* N.B. Students currently studying for any SII qualification may apply online to become Student Members at point of examination booking.

Please post or fax this form to:

Membership Department, Securities & Investment Institute, 8 Eastcheap, London EC3M 1AE
 Email: memberservices@sii.org.uk Tel: 020 7645 0650 Fax: 020 7645 0601 www.sii.org.uk

7. Declaration

1. I have not been adjudged bankrupt or insolvent or compounded with my creditors and I am not currently subject to disciplinary procedures by the FSA or any professional body
2. I apply to become a Student member of the Securities & Investment Institute and agree to abide by the Membership Rules and the Institute's Memorandum and Articles of Association, and to uphold its high standards as published in its Professional Code (Rules and Code available from website, Memorandum and Articles available on request). Any breach of the Rules may give rise to disciplinary procedures and termination of my membership
3. I agree to maintain competence through CPD as outlined in Annex 3 of the Membership Rules
4. I know of no reason why I should not become an Student member

Signature: _____

Name in full: _____

8. Referee

Normally a course tutor, your line manager, or a Member or Fellow of the Securities & Investment Institute.

I support (full name) _____

in applying to become a Student member of the Securities & Investment Institute. I believe from my personal knowledge of him/her, that he/she fulfils the requirements of the Securities & Investment Institute.

Signature: _____

Name in full: _____

Job title: _____

Date: _____

9. Payment (Please complete as appropriate)

The annual subscription for Student membership is £45. There is no joining fee. The subscription is payable at the time of application. **Thereafter, subscriptions are due annually on 1st April**, and may be paid by direct debit (see below). Please note that all students who join between January and March will be covered for the following year's subscription.

Receipt required

Payment by firm:

I authorise payment for membership to be invoiced to our general account:

Print name: _____

Signed: _____ HR department

Payment by cheque:

Cheques should be made payable to:

'Securities & Investment Institute Ltd' and crossed 'Account Payee only'.

Cheque attached

Payment by Card:

I wish to pay by: ***American Express/Delta/Eurocard/MasterCard/Switch/Visa**

*Delete as applicable

I authorise you to debit my account with the amount of £45

Card number:

Expiry date: ___/___

Switch/AMEX issue date: ___/___

Switch only issue No: _____

Card holder's name: (if different to that in Section 1 of this form) _____

Signature: _____

Instruction to your Bank or Building Society to pay by Direct Debit

Please fill in this form and send the original hard copy by post to:

Membership Department, Securities & Investment Institute, 8 Eastcheap, London EC3M 1AE



Name and full postal address of your Bank or Building Society

To: The Manager Bank/Building Society

Address

Postcode

Name(s) of Account Holder(s)

Bank/Building Society account number

Branch Sort Code

Originator's Identification Number

8 5 9 0 0 5

Originator's reference number

Sec/Inst/

(for office use only)

Instruction to your Bank/Building Society

Please pay the Securities & Investment Institute Direct Debits from the account detailed in this instruction subject to the safeguards assured by the Direct Debit Guarantee. I understand that the amounts are variable and may be debited on various dates.

Signature(s)

Date

Banks and Building Societies may not accept Direct Debit instructions for some types of account

This guarantee should be photocopied and retained by the Payer.

The Direct Debit Guarantee



- This guarantee is offered by all Banks and Building Societies that take part in the Direct Debit Scheme. The efficiency & security of the Scheme is monitored and protected by your own Bank or Building Society.
- If the amounts to be paid or the payment dates change, the Securities & Investment Institute will notify you in advance of your account being debited or as otherwise agreed.
- If an error is made by the Securities & Investment Institute or your Bank or Building Society, you are guaranteed a full and immediate refund from your branch of the amount paid.
- You can cancel a Direct Debit at any time by writing to your Bank or Building Society. Please also send a copy of your letter to us.

Securities & Investment Institute would like to keep you informed of products and services that may be of interest. If you do not wish to receive this information, please tick this box: