

Please note that all questions should be answered. Please write in black ink and use capitals.
 Diploma student, upgrade, reinstatement and FSI application forms can be found on our website www.sii.org.uk/membership

1. Personal details

Title _____ First name(s) _____

Surname _____

Private address _____

Postcode _____

Tel. _____ Fax _____

Email _____ Date of birth _____

Nationality _____

Former name(s) if any _____

Date of change _____

2. Work details (if applicable)

Firm name _____

Job title _____

Department _____

Firm address _____

Postcode _____

Direct tel. _____

Direct fax _____

Email _____

3. SII Qualifications **gained** **being studied** (please tick and give details) eg, IAQ™

4. Other Professional Qualifications (please attach a certified copy of the pass certificate)

Professional Qualifications	Designatory letters
_____	_____
_____	_____

5. Contact information (tick one)

Correspondence to be delivered to: Work Home

Preferred communication method: Mail Email Either

Address to appear in Members' directory: Work Home None

Who will pay your annual subscription? Firm Self

If firm, please provide the following information:

Department _____ Cost centre _____

Contact name _____ Address (if different from above) _____

6. Centre of Excellence details

Name of University _____

Name of Postgraduate Programme studied and achieved _____

Dates of Programme _____

7. Declaration

- I have not been adjudged bankrupt or insolvent or compounded with my creditors and I am not currently subject to disciplinary procedures by the FSA or any professional body.
- I apply to become a member of the Securities & Investment Institute and agree to abide by the Membership Rules and the Institute's Memorandum and Articles of Association, and to uphold its high standards as published in its Professional Code (Membership Rules and Professional Code available on the website, Memorandum and Articles of Association available on request). Any breach of the Membership Rules may give rise to disciplinary procedures and termination of my membership.
- I agree to maintain competence through CPD as outlined in Annex 3 of the Membership Rules
- I know of no reason why I should not become a member.

Signature _____

Name in full _____

8. Referee

(Only required for applicants who do not have regulatory approval.)
 The referee must be either a professional (eg, accountant, lawyer) or your tutor.
 I support (full name) _____
 in applying to become a member of the Securities & Investment Institute. I believe from my personal knowledge of him/her, that he/she fulfils the requirements of the Securities & Investment Institute.

Signature _____

Name in full _____

Job title _____

Date _____

A copy of the relevant certificate, must be enclosed with this form (the exemption will not be processed until this is received). The Securities & Investment Institute may confirm this award with the University.

I wish to apply for full membership (MSI) only @ £150* (joining fee normally £50 - not charged)

I wish to apply for Exemption from SII Diploma @ £200 (normally £75 per module)*

I wish to apply for both Membership and Exemption and have achieved a Grade B or higher at IntegrityMatters (please attach copy of IntegrityMatters certificate) @ £250**

* You can only apply for exemption and MSI membership if you have achieved IntegrityMatters online (grade B or above) and your MSc (please attach copies of both certificates)

** Applying for Membership and exemption and achieving IntegrityMatters at Grade B or above entitles you to a discount of £125

The discounted prices are valid for one year from the date you have completed all SII requirements

PAYMENT: Please fill out the appropriate information

Payment by Cheque: Cheques should be made payable to 'Securities & Investment Institute' and crossed 'Account Payee only'.

Cheque attached

Payment by Card: Securities & Investment Institute accepts payment by certain types of payment card—**American Express, Delta, Eurocard, MasterCard, Switch** and **Visa**. If you would prefer to make your payment by card, please complete the information requested below, then fill out your cardholder details. Forms may then be faxed or posted to the Securities & Investment Institute. If submitting the original form by post after having sent it by fax, please tick this box to ensure you are not charged TWICE:

I wish to pay by ***American Express/Delta/Eurocard/MasterCard/Switch/Visa** *Delete as applicable

I authorise you to debit my account with the amount of £.....

Card number:

Expiry date: Switch/AMEX issue date: Switch only issue no:

Cardholder's name: (if different to that on previous page of this form)

Cardholder's address: (if different to that on previous page of this form)

Please note: purchases made with a credit card will incur a 2% surcharge to cover administration & handling fees. This does not apply to debit card transactions.

Cardholder's Signature: Telephone:

DECLARATION: "I declare that the information I have supplied is complete and correct. In considering my application for exemption, I agree that I will comply with and be bound by the Memorandum and Articles of Association and Rules of the Securities & Investment Institute which are or may be in force from time to time." I am happy for the Institute to confirm these details with the relevant issuing body.

Candidate's Signature

Date:

The FSA requires financial practitioners to remain up to date and updated information regarding your qualification and continuing professional development will be sent to you. Please tick the box if you do not want to receive this information.

Please remember to complete the Qualifications Registration form as you will not receive your exemption certificate without doing so - visit sii.org.uk/qrf

Please complete and return to:

Securities & Investment Institute, 8 Eastcheap, London EC3M 1AE
Telephone: +44 (0)20 7645 0680 Facsimile: +44 (0)20 7645 0601 Email: educationdevelopment@sii.org.uk